O 1 P & erp 0 3 200	Please type a plus sign	n (+) inside this box	→ 🗄	•		HDP/SB	/21 based on PTO/SB/21 (08-00)	
SEP 0 3 200				Applic	ati n Number	10/628,	708	
B.	<b>8</b> /	SMITTAL		Filing	Dat	July 28,	, 2003	
RADE	ř F	FORM			amed Invent r	Daniel	J. Lubera et al.	
	(to be used for all corr	nitial filing)	Group	Art Unit	Unknov	vn		
			Exami	ner Name	Unknov	vn		
,	Total Number of Pages	in This Submission		Attorne	y Docket Number	0275M-	000320/COB	
			ENCLO	SURES	(check all that apply)			
	Fee Transmittal Fo	orm		ment Pap Application		After Grou	r Allowance Communication to up	
	Fee Attached		☐ Drawin	g(s)		App	eal Communication to Board of eals and Interferences	
	Amendment / Res	ponse	Licens	ing-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
	After Final		Petitio	า		Proprietary Information		
·	Affidavits/decl	aration(s)	_ additional fees that r		Status Letter			
	Extension of Time	Request				er Enclosure(s) ase identify below):		
	Express Abandon	ment Request			Form HDP-1449; 5 foreign pareferences; return postcard			
	☐ Information Disclo	sure Statement						
	Certified Copy of I	Priority			Is hereby authorized to charge any may be required under 37 CFR 1.16 or 1.17 No. 02-2550. A duplicate copy of this			
-	Response to Miss Incomplete Applic							
	Response to 1 Parts under 3 1.52 or 1.53							
		SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, O	R AGEN	Т	
	Firm or Individual name  Signature  Harness, Dickey &				orney Name chael E. Hilton		Reg. No. 33,509	
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	Thereby certify that the addressed to: Direct transmitted to the U.S.	tor of the U.S. Pa	tent and Tra	ademark	Office, P.O. Box 1450	tal Service , Alexandı	e as first class mail in an envelope ria, VA 22313-1450, or facsimile	
	Typed or printed nam							
	Signature	wi. a.	19/14			Date	28 AUG 03	

**PATENT** 

SEP 0 3 2003

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/628,708

Filing Date:

July 28, 2003

Applicant:

Daniel J. Lubera et al.

Group Art Unit:

Unknown

Examiner:

Unknown

Title:

RESILIENT CLIP FASTENER

Attorney Docket:

0275M-000320/COB

Director of The United States Patent and Trademark Office P.O. Box 1450

Alexandria, Virginia 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

#### I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information submitted for consideration by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

#### II. <u>COPIES</u>

- A. \_\_\_ Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.
- B. X Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

<u>U.S. Serial Number</u> 09/813,592 U.S. Filing Date 03/21/2001

C. X Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.

D.\_\_\_\_This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))

### III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

A. \_\_\_\_ Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).

B.  $\underline{X}$  A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):

- 1.\_\_\_\_See the attached foreign patent office communication from a counterpart foreign application:
- 2.\_\_\_\_English translations are provided for:
- 3. X Other:

An English language abstract is provided for German Patent No. 2648467.

German Patent No. 1157434 discloses an U-shaped fastener 11 having outwardly bent portions 26, 27.

French Patent No. 1477535 discloses a fastener having portions twisted outwardly. The twisted portions include teeth.

C. \_\_\_ The following additional information is provided for the Examiner's consideration.

### IV. CROSS REFERENCE TO RELATED APPLICATION(S)

A. X The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By

bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.

<u>Serial No.</u> 09/813,592

Filing Date 03/21/2001

Art Unit 3677

#### V. THIS IDS IS BEING FILED UNDER

A. X 37 C.F.R. § 1.97(b): (check only one box)

- 1. X within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.
- 2. \_\_\_ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.
- 3.\_\_\_\_\_ before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
- 4. \_\_\_\_ before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.
- B.\_\_\_\_ 37 C.F.R. § 1.97(c): (check <u>only</u> one box)
  - before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.
  - 1. \_\_\_\_ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).
  - 2. \_\_\_\_ See the certification below. No fee is required.
- C. \_\_\_\_ 37 C.F.R. § 1.97(d):
  - after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.

1. \_\_\_ See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

### VI. <u>CERTIFICATION UNDER 37 C.F.R. § 1.97(e):</u> (check <u>only</u> one box)

The undersigned hereby certifies that:

- A. \_\_\_each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or
- B. \_\_\_no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
- C. \_\_\_Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

## VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

\_\_\_\_ each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. 1.56(c) more than thirty days prior to the filing of this IDS.

### VIII. PAYMENT OF FEES (check only one box)

- A. \_\_\_ A check in the amount of \$180.00 is enclosed for the above-identified fee.
- B. \_\_\_\_ Please charge Deposit Account No. 02-2550 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 02-2550.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 02-2550.

Respectfully submitted,

Dated: 28 Auto 2003

Michael E. Hilton Reg. No. 33,509

HARNESS, DICKEY & PIERCE, P.L.C. P.O. Box 828 Bloomfield Hills, Michigan 48303 (248) 641-1600

MEH/kq



FORM HDP-1449 (Based on Form PTO-1449)

## PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 4

ATTORNEY DOCKET No.	SERIAL NO.
0275M-000320/COB	10/628,708
APPLICANT	
Daniel J. Lubera et al.	
FILING DATE	GROUP
July 28, 2003	Unknown

U.S. P	U.S. PATENT DOCUMENTS					
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		2002/0194710 A1	12/26/2002	Dickinson et al.		
2.		2003/0024078 A1	02/06/2003	Vassiliou		
3.		2003/0024077 A1	02/06/2003	Vassiliou		
4.		1,873,871	08/23/1932	Carr		
5.		2,032,315	02/25/1936	Chaffee		
6.		2,181,966	12/05/1939	Dean		
7.		2,217,781	10/15/1940	Wiley		
8.		2,426,799	09/02/1947	Tinnerman		
9.		2,509,192	05/23/1950	Poupitch		
10.		2,618,998	11/25/1952	Poupitch		
11.		2,692,414	10/26/1954	Poupitch		
12.		2,959,259	11/08/1960	Meyer		
13.		3,034,615	05/15/1962	Kern		
14.		3,310,929	03/28/1967	Garvey		
15.		3,400,743	09/10/1968	Strange		
16.		3,864,789	02/11/1975	Leitner		
17.		3,939,752	02/24/1976	Koscik		
18.		4,300,865	11/17/1981	Murray		
19.		4,402,118	09/06/1983	Benedetti		
20.		4,595,325	06/17/1986	Moran et al.		
21.		4,630,338	12/23/1986	Osterland et al.		

Examiner:	Date Considered:



FORM HDP-1449 (Based on Form PTO-1449)

## PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 2 of 4

ATTORNEY DOCKET No.	SERIAL NO.
0275M-000320/COB	10/628,708
APPLICANT	
Daniel J. Lubera et al.	
FILING DATE	GROUP
July 28, 2003	Unknown

U.S. F	U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date	
22.		4,644,612	02/24/1987	Osterland			
23.		4,668,145	05/26/1987	Hirohata			
24.		4,844,533	07/04/1989	Dowd et al.			
25.		4,865,505	09/12/1989	Okada			
26.		4,893,866	01/16/1990	Dowd et al.			
27.		4,902,068	02/20/1990	Dowd et al.			
28.		4,913,484	04/03/1990	Dowd et al.			
29.		4,925,351	05/15/1990	Fisher			
30.		4,981,322	01/01/1991	Dowd et al.			
31.		4,981,323	01/01/1991	Dowd et al.			
32.		4,989,911	02/05/1991	Van Order			
33.		5,056,853	10/15/1991	Van Order			
34.		5,061,005	10/29/1991	Van Order et al.			
35.		5,105,521	04/21/1992	Dowd et al.			
36.		5,251,467	10/12/1993	Anderson			
37.		5,269,060	12/14/1993	Dowd et al.			
38.		5,367,751	11/29/1994	DeWitt			
39.		5,451,022	09/19/1995	Peterson			
40.		5,499,854	03/19/1996	Crotty, III et al.			
41.		5,542,158	08/06/1996	Gronau et al.			

Examiner:	Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

SEP 0 3 2003

FORM RDP-1449 (Based on Form PTO-1449)

# PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 3 of 4

ATTORNEY DOCKET No.	SERIAL NO.
0275M-000320/COB	10/628,708
APPLICANT	
Daniel J. Lubera et al.	
FILING DATE	GROUP
July 28, 2003	Unknown

U.S. F	ATENT DO	CUMENTS				
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
42.		5,560,575	10/01/1996	Krysiak		
43.		5,632,061	05/27/1997	Smith et al.		
44.		5,636,891	06/10/1997	Van Order et al.		
45.		5,704,753	01/06/1998	Ueno		
46.		5,752,853	05/19/1998	Curtindale		
47.		5,758,987	06/02/1998	Frame et al.		
48.		5,759,004	06/02/1998	Kuffel		
49.		5,774,949	07/07/1998	Cornell et al.		
50.		5,857,735	01/12/1999	Alonso Cuesta		
51.		5,873,690	02/23/1999	Danby et al.		
52.		5,919,019	07/06/1999	Fischer		
53.		5,992,914	11/30/1999	Gotoh et al.		
54.		6,003,928	12/21/1999	Curtindale		
55.		6,095,734	08/01/2000	Postadan et al.		
56.		6,101,686	08/15/2000	Velthoven et al.		
57.		6,141,837	11/07/2000	Wisniewski		
58.		6,179,366 B1	01/30/2001	Hansz		
59.		6,234,558 B1	05/22/2001	Curtindale		

Examiner:	Date Considered:



FORM HDP-1449 (Based of Form PTO-1449)

## PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 4 of 4

ATTORNEY DOCKET No.	SERIAL NO.
0275M-000320/COB	10/628,708
APPLICANT	
Daniel J. Lubera et al.	
FILING DATE	GROUP
July 28, 2003	Unknown

FORE	FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No_	
1.		1 229 668	04/28/1971	Great Britain		N/A		
2.		EP 0 930 440 A1	07/21/1999	EPO		N/A		
3.		1157434	11/14/1963	Germany			X	
4.		1477535	03/13/1967	France			X	
5.		2648467	04/27/1978	Germany		Abstract		

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)			
Ref. Desig.	Examiner's Initials		
1.		Blueprint 9851875; Clip-windshield side reveal molding; Fisher body division, General Motors Corp.; 2/4/71	
2.		Communication from EPO dated September 24, 2002	
3.		Pictures	

Examiner: Date Considered	:
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